## Spin-Orbit Coupling and Electronic Correlations in Sr<sub>2</sub>RuO<sub>4</sub>

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### Outline

- Intro:
  - unconventional superconductivity
  - $Sr_2RuO_4$ , a Fermi liquid with a small coherence scale
  - role of Hund's rule coupling : Sr<sub>2</sub>RuO<sub>4</sub> is a "Hund's metal"; LDA+DMFT results (no SOC)
  - spin-orbit in Sr<sub>2</sub>RuO<sub>4</sub> seen in LDA and srARPES: is this compatible with Hund's metal picture?
- Results
  - realistic DMFT results (with SOC)
  - impurity model NRG results with (SOC)
  - remarkably simple picture of SOC & correlations and quantitative understanding of srARPES

#### Spin-Orbit Coupling and Electronic Correlations in $Sr_2RuO_4$

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PHYSICAL REVIEW B 96, 085122 (2017)



#### Spin-orbit coupling in three-orbital Kanamori impurity model and its relevance for transition-metal oxides

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### Sr<sub>2</sub>RuO<sub>4</sub> properties





p-wave supercond.

T\_~2K

Maeno et al., Nature'94

Rice and Sigrist , J.Phys.CM'95 Correlated metal: Fermi liquid, (m\*/m~4)

4 el. in Ru  $t_{2g}$  orbitals

• chiral p-wave state best? candidate



$$\mathbf{d} = \Delta_0 \hat{\mathbf{z}}(k_x \pm ik_y) = \Delta_0 \begin{bmatrix} 0 \\ 0 \\ k_x \pm ik_y \end{bmatrix}.$$

FIG. 20. Sketches of Cooper pair S and L vectors for the order parameter  $\mathbf{d} = \Delta_0 \hat{\mathbf{z}} (k_x \pm i k_y)$ . The large arrows denote L and

Mackenzie et al. RMP'03

# Rotating of order parameter with field? Demands weak enough SOC

• Knight shift field inplane

1.0  $Sr_2 RuO_4$   $H(-6.5 KOe)^{\perp}c$   $K^{1x}$   $H(-6.5 KOe)^{\perp}c$   $K^{1y}$   $T_c(H)$  C  $T_c(H)$   $T_c(H)$ 

Ishida et al., PRB 56 R505 (1997) Ishida et al. Nature 396, 658 (1998). field out of ab-plane



Murakawa et al., PRL 93, 167004 (2004).

For a recent discussion, see:

Kim,Khmelevskyi, Mazin, Agterberg, Franchini, npj Quantum Materials 2, 37 (2017) & Mackenzie, Scaffidi, Hicks, Maeno, npj Quantum Materials 2, 40 (2017). (100 Oe =0.01 T)

# Sr<sub>2</sub>RuO<sub>4</sub>: el. structure (without LS coupling)



In ionic picture, 4 electrons on Ru; crystal field splitting  $\rightarrow$ t<sub>2g</sub> orbitals: xy and degenerate xz, yz

Wide xy band ( $\Upsilon$  sheet)

Fermi surfaces of DFT, quantum oscillations, ARPES **agree well.** 

Mass enhancements with respect to DFT  $\sim$ 4.

Mackenzie et al, PRL'96 Oguchi, PRB'95 Singh, PRB'95



Damascelli, Shen et al., PRL'00

	α	β	γ
Frequency $F(kT)$	3.05	12.7	18.5
Average $k_F$ (Å <sup>-1</sup> )	0.302	0.621	0.750
$\Delta k_F/k_F$ (%)	0.21	1.3	< 0.9
Cyclotron mass $(m_e)$	3.4	6.6	12.0
Band calc. $F(kT)$	3.4	13.4	17.6
Band calc. $\Delta k_F/k_F$ (%)	1.3	1.1	0.34
Band mass $(m_e)$	1.1	2.0	2.9

#### Low coherence scale in transport

 4d compound : U~2eV <W, yet strong correlations : large mass, coherence-incoherence crossover at low T <20K & bad metal behavior at high T</li>



## Low coherence scale in NMR, thermopower ...





Yoshino et al. J.Phys. Soc. Jpn. 6 1548(1996).

Optical spectroscopy: Stricker et al. PRL 113, 087404 (2014).

Photoemission: Wang et al. PRL 92 137002 (2004)

### WHY IS COHERENCE SCALE LOW?

#### DMFT



### $Sr_2RuO_4$ within LDA+DMFT

- Wannier function constructed out of t2g
- Full rotationaly invariant vertex is used
- Constrained RPA to calculate U(=2.3eV) & J
- Hybridization expansion
   CTQMC



$$H_{I} = U \sum_{m} n_{m\uparrow} n_{m\downarrow} + \sum_{m < n,\sigma} [U' n_{m\sigma} n_{n\bar{\sigma}} + (U' - J) n_{m\sigma} n_{n\sigma} - J c^{\dagger}_{m\sigma} c_{m\bar{\sigma}} c^{\dagger}_{n\bar{\sigma}} c_{n\sigma}] - J \sum_{m < n} [c^{\dagger}_{m\uparrow} c^{\dagger}_{m\downarrow} c_{n\uparrow} c_{n\downarrow} + h.c.]$$

$$H = (U - 3J)n(n - 1)/2 - 2JS^2 - 1/2JL^2$$
  
$$\vec{S} = 1/2 \sum_{m\sigma\sigma'} c^{\dagger}_{m\sigma} \vec{\tau} c_{m\sigma'}$$
  
$$L_m = i \sum_{\sigma m'm''} \epsilon_{mm'm''} c^{\dagger}_{\sigma m'} c_{\sigma m''}$$

Werner et al, PRL'06 Parcollet,Ferrero et al. TRIQS implementation

#### Coherence scale drops due to Hund's rule coupling J

- LDA+DMFT applied to Sr<sub>2</sub>RuO<sub>4</sub>
- T<sup>\*</sup> determined from T-dep of Γ=-Z ImΣ(0)
- T\* suppresed by J !



J [eV]	$m^*/m_{\rm LDA} _{xy}$	$m^*/m_{\text{LDA}} _{xz}$	$T_{xy}^*$ [K]	$T_{xz}^*$ [K]	$T_>$ [K]
0.0, 0.1	1.7	1.7	>1000	>1000	>1000
0.2	2.3	2.0	300	800	>1000
0.3	3.2	2.4	100	300	500
0.4	4.5	3.3	60	150	350

Mravlje et al. PRL 106 096401 (2011).

Masses in agreement with quantum oscillations & specific heat at physical value of J

#### Good agreement with experiment ; low coherence scale

25

n

ARPES

1.2





R, ARPES, quantum oscillations Mravlje et al. PRL 106 096401(2011) ics : Stricker et al. PRL 113 087404 (2014). beck coefficient Mravlje, Georges, PRL 117 036401(2016).

#### DMFT : Hund's metals

Hund's metal: correlated metals far from a U-driven
 Mott transition

L. de'Medici, JM, A.Georges, PRL'11

Haule, Kotliar, NJP'09 Werner,Gull, Troyer,Millis PRL'08 Werner,Gull, Millis, PRB'09 Georges, de'Medici, Mravlje, Annu Rev CM'13 Yin, Haule, Kotliar,PRB'13 Aron, Kotliar PRB'15 de'Medici, Capone, ... Fanfarillo, Bascones PRB'15 ...

### In corresponding Kondo model, suppressed spin-spin Kondo coupling

- $H_{\rm imp} = \frac{1}{2}(U 3J)N_d(N_d 1) 2J\mathbf{S}^2 \frac{J}{2}\mathbf{L}^2$
- Schrieffer-Wolff

$$H_{\rm K} = -P_n H_{\rm hyb} \left( \sum_{a} \frac{P_{n+1}^a}{\Delta E_{n+1}^a} + \sum_{b} \frac{P_{n-1}^b}{\Delta E_{n-1}^b} \right) H_{\rm hyb} P_n$$
result

$$\begin{split} H_K &= J_p N_f + J_s \mathbf{S} \cdot \mathbf{s} + J_l \mathbf{L} \cdot \mathbf{l} + J_q \mathbf{Q} \cdot \mathbf{q} + \\ J_{ls}(\mathbf{L} \otimes \mathbf{S}) \cdot (\mathbf{l} \otimes \mathbf{s}) + J_{qs}(\mathbf{Q} \otimes \mathbf{S}) \cdot (\mathbf{q} \otimes \mathbf{s}) \end{split} \qquad \text{For Nd=2 } \rightarrow \text{S=1, L=1} \end{split}$$

- Spin-spin, orbital-orbital, Q-Q, and mixed terms
- S-spin, L-orbit, Q- orbital quadrupole

$$Q_{i,j}^{bc} = \frac{1}{2} \left( L_{i,m}^b L_{m,j}^c + L_{i,m}^c L_{m,j}^b \right) - \frac{2}{3} \delta_{b,c} \delta_{i,j}$$
$$\operatorname{Tr}(Q^{\alpha} Q^{\beta}) = 2 \delta_{\alpha,\beta}$$

Yin, Haule, Kotliar PRB'12 Aron, Kotliar PRB'15 Stadler et al. PRL'15 Horvat, Zitko, Mravlje PRB'16

## Hund's rule coupling suppresses spin-spin Kondo coupling constants



 Why ferromagnetic? Fluctuations to N=3 (half-filled) states prefer ferromagnetic arrangement [in contrast to single-orbital!]



Yin, Haule, Kotliar PRB'12 Aron, Kotliar PRB'15 Stadler et al. PRL'15 Horvat, Zitko, Mravlje PRB'16

#### Impurity model results



Horvat, Zitko, Mravlje, PRB 94 165140 (2011).

### So: Sr<sub>2</sub>RuO<sub>4</sub> a Hund's metal with different behavior of spin and orbital moments

What about LS coupling?

- L-S coupling not small ~0.1eV
- certainly larger than Fermi liquid coherence scale
- What are its consequences?



Earnshaw, Figgis, Lewis, Peacock, J. Chem. Soc., (1961). Pavarini, Mazin, PRB 74, 035115 (2006). Haverkort et al., PRL 101, 026406 (2008)

- spin anisotropy in NMR (3\*) Ishida et al., PRB 64 R100501 (2001).
- admixing of orbitals; crossings become avoided



ARPES H. Iwasawa et al., PRL (2010)

#### sr ARPES



Haverkort et al. PRL'08



srARPES : splitting at Gamma point ~0.1eV C. Veenstra et al., PRL 112 127002 (2014)

- Spin-orbit splittings consistent with LDA and are substantial
- Does Hund's metal picture persist in the presence of spin-orbit?

### Method

- 2D Tight-Binding model which describes LDA+SOC band structure.
- DMFT computation with SOC, which involves finite ImG(τ).
- U=2.3 eV, J<sub>H</sub>=0.4 eV.

$$H = H_0 + H_{\rm ls} + H_{\rm int}$$

$$H_{\rm int} = (U - 3J_{\rm H})\frac{\hat{N}(\hat{N}-1)}{2} - 2J_{\rm H}\vec{S}^2 - \frac{J_{\rm H}}{2}\vec{L}^2$$

$$H_{\rm ls} = \frac{\lambda_z}{2}\sum_{m=-1}^{1} m(d_{m\uparrow}^{\dagger}d_{m\uparrow} - d_{m\downarrow}^{\dagger}d_{m\downarrow})$$

$$+ \frac{\lambda_{xy}}{\sqrt{2}}\sum_{m=-1}^{0} (d_{m+1\downarrow}^{\dagger}d_{m\uparrow} + d_{m\uparrow}^{\dagger}d_{m+1\downarrow})$$

$$\lambda_{\rm xy} = \lambda_z = \lambda_{SOC} = 100 \,\mathrm{meV}$$

Table I. The tight-binding Hamiltonian  $t_{\alpha\sigma,\alpha'\sigma'}^{\mathbf{R}\neq0}$ , t=0.42,  $t_1=0.17$ ,  $t_2=0.30$ ,  $t_3=0.03$ , and  $t_4=0.04$  (eV).

	xz, xz	yz,yz	xy, xy	xz,yz
$t^{\pm 1,0,0}_{lpha,lpha'}$	$-t_2$	$-t_3$	-t	0
$t^{0,\pm1,0}_{lpha,lpha'}$	$-t_3$	$-t_2$	-t	0
$t_{\alpha,\alpha'}^{\pm 1,\pm 1,0}$	0	0	$-t_1$	$-t_4$
$t_{\alpha,\alpha'}^{\pm 1,\mp 1,0}$	0	0	$-t_1$	$t_4$

## Self-energies with SOC very similar to the ones without





- Spin-orbit coupling does not affect electronic correlations in spite of being substantially larger than  $T_{FL}$ . Why?



### LDA+DMFT on Sr<sub>2</sub>RuO<sub>4</sub>

•  $T_{\kappa}^{orb}$  >0.1 eV. Orbital moments are quenched. SOC has no effect



Mravlje, Georges, PRL' 117 036401 (2016).

#### WHAT DOES THIS MEAN FOR ELEC. STRUCTURE/ AS PROBED BY ARPES?

#### DOES DMFT PREDICT THAT SOC IS WASHED AWAY BY CORRELATIONS?

## Not at all: SOC effects are correctly reproduced

Corrected Counts (106)







#### How does this work?

• SOC is a part of the single particle Hamiltonian, hence it is renormalized with the rest of band structure. Take a slave-boson type self-energy Re  $\Sigma$ = (1-1/Z)  $\omega$ . Full single-particle Hamiltonian is renormalized.





 In DMFT, self-energy has more structure, slope at -0.5eV smaller.



- 2<sup>nd</sup> : there are off-diagonal parts of the self energy
- Finally, splitting at Gamma is:

$$\zeta_{\text{SOC}} = Z_{H,xz} \lambda_{z,\text{eff}}$$
 ~110 meV

• The corresponding spin-orbit at small energies is due to electronic renormalization ~5 suppressed to 40meV.

#### Conclusion

- Sr<sub>2</sub>RuO<sub>4</sub> is a Fermi liquid with a low T\* due to Hund's rule coupling
- Hund's metal picture is valid in spite of SOC, because  $\lambda < T_{\kappa}^{\ orb}$
- Spin-orbit effects enhanced due to off-diagonal selfenergies but suppressed due to dynamical renormalization (as any other term of the singleparticle Hamiltonian)
- Taking this together, Slight suppression (factor ~2) of spin-orbit at low energies, relevant to superconductivity

### THANK YOU!


Silk, Terasaki, Schofield, PRB'09 Paterson, Shastry PRB'10

### Fermi surface



- Spin-orbit coupling affects Fermiology by inducing orbital mixing in each Fermi surface sheets
- Hund's coupling affects Fermiology by equalize orbital occupancy.

### Crossing becomes an avoided crossing



Haverkort et al. PRL'08 Avoided crossing more consistent with measurements of quantum oscillations, Bergemann et al., Adv. Phys.'03

### SOC induced orbital mixing



• Spin-orbit coupling induces mixing of orbital, which is consistent with experiment.

### SOC induced degeneracy lifting at $\Gamma$



- Spin-orbit coupling induced degeneracy lifting at k=Γ point is consistent with experiment.
- This consistency reflects correct renormalization of bands, and correct enhancement of effective SOC constants.

### Self-energies and quasiparticle



- Spin-orbit coupling does not affect the nature of electronic correlation of Hund's metal.
- Electronic correlation enhances effective SOC constants without subtle energy dependence.

## Who cares if ferromagnetic? $\rightarrow$ asymptotically decoupled spin; NFL!







### Two-stage decoherence

 Entropy in Sr<sub>2</sub>RuO<sub>4</sub> from LDA+DMFT (ii) Liberated orbital moments  $E = \gamma T^2 / 2$ 2  $\gamma = 38 \mathrm{mJ/molK^2}$  $S = \gamma T; T < T_0$ S[k<sub>B</sub>]  $S = \gamma T_0 + c \log(T/T_0); T > T_0$ 1 80 E[meV] 60 40 1000 0 500 1500 20 0 T[K] 40 80 120 0 T[meV] (i) Liberated spins  $T_0 = c/\gamma \quad c = 0.75k_B$ 

### Consequences of this for Seebeck

• Knowing DOF one can attempt Heikes analysis



- ab- Seebeck explainable in entropic terms and points to quenched orbitals and free spins
- Can Seebeck (blindly) be used as diagnostics of DOFs of warm metals?
- Is entropy a limiting factor for Seebeck coefficient?

### Insights from impurity model

Kanamori impurity with NRG [S and L SU(2) symmetries]

$$H_{\rm imp} = \frac{1}{2}(U - 3J)N_d(N_d - 1) - 2J\mathbf{S}^2 - \frac{J}{2}\mathbf{L}^2$$

• Distinct scales for screening of S and L





Horvat, Žitko, Mravlje PRB'16 Okada, Yosida, PTP'73 Yin, Haule, Kotliar PRB'12

- Suppression of (both)  $T_{\kappa}$  with J
- Similar results for Kanamori, Dworin-Narath, Kondo-Kanamori,

## Atom in a medium : quantum impurity problem

Kondo effect





### Kondo effect

- Infrared slavery
- Screening of magnetic moments → renormalized metallic response



- Also bulk : high temperatures, local moments
- Low teperatures ; renormalized quasiparticles



### Ferromagnetic Kondo effect, infrared freedom

$$H = \sum_{k\sigma} \epsilon_k c^{\dagger}{}_{k\sigma} c_{k\sigma} + \widetilde{J\psi^{\dagger}(0)\vec{\sigma}\psi(0)\cdot\vec{S}_f}.$$



### RG flow



- Even starting with  $J_s = J_{\mu}$  (suppressing  $J_{\mu s} = J_{qs}$  terms) running of  $J_{\mu}$  faster (due to larger SU(3) symmetry)
- Splitting between  $J_{I}$ ,  $J_{q}$  and  $J_{Is}$ ,  $J_{qs}$  becomes smaller as T is decreased  $\rightarrow$  dynamic establishing of SU(3) symmetry

### NRG results

- Hund's metal -
  - Low T FL
  - at intermediate T : screened orbitals and fluctuating spins



### Other ruthenates

- LDA+DMFT succesfully describes also other ruthenates
- FM in SrRuO<sub>3</sub> and paramagnetism with larger renormalizations in CaRuO<sub>3</sub>
- Optics in CaRuO<sub>3</sub>



Dang, JM, Georges, Millis, PRB'15 Dang, JM, Georges, Millis, PRL'15

# Returning to materials worlds $\dots$ $Sr_2RuO_4$

•  $T_k^{orb} = 1000K = 0.1eV; \lambda = 0.075eV; validates qualitatively LDA+DMFT without spin-orbit (but marginally, to be investigated more)$ 



#### Quasiparticle resonances



#### What about LS coupling?

- L-S coupling not small ~0.1eV
- – not that much smaller than  $J_{\mu}$
- What are its consequences?

### NRG study : Kanamori Hamiltonian + LS







### RG picture on relevance of $\lambda$



( $T_{\kappa} \rightarrow T_{k}^{orb}$ , for Hund's metals, but more generally, first scale at which either spin/orbit moment is screened)

- Bright colors = small Z = strong correlations
- Bars indicate Mott insulator
- Materials placed according to specific heat enh. (if app.)



### Consequences

- Existence of RQPs enables rewriting transport a la Boltzmann
- Shifts thinking from "what is going on with scattering" to "what is going on with dispersions"
- Deng, JM et al, PRL'13
  Success of such WinKling.
  explaining optics in terms of how correlations affect dispersions



Stricker, JM et al. PRL'14

### Large curvature implies small cutoff (kink) scale (if mass is fixed)

 $\operatorname{Re}\Sigma = -2\omega_c A\omega + \cdots$ .

 $\mathrm{Im}\Sigma = 0 \quad |\omega| > \omega_c$ 

 $\mathrm{Im}\Sigma = -A\omega^2$ 

PHYSICAL REVIEW B 90, 205131 (2014)

#### Photoemission and DMFT study of electronic correlations in SrMoO<sub>3</sub>: Effects of Hund's rule coupling and possible plasmonic sideband

H. Wadati,<sup>1,\*</sup> J. Mravlje,<sup>2,3,4</sup> K. Yoshimatsu,<sup>5</sup> H. Kumigashira,<sup>5</sup> M. Oshima,<sup>5</sup> T. Sugiyama,<sup>6</sup> E. Ikenaga,<sup>6</sup> A. Fujimori,<sup>7</sup> A. Georges,<sup>3,4,8</sup> A. Radetinac,<sup>9</sup> K. S. Takahashi,<sup>10</sup> M. Kawasaki,<sup>1,10</sup> and Y. Tokura<sup>1,10</sup>



### Quantum oscillations



### NMR







### Quasiparticle part of the spectra; J/U=1/6



### LDA+DMFT on Sr<sub>2</sub>RuO<sub>4</sub>

• Data in close agreement with experimental ones!



#### Optical Response of Sr<sub>2</sub>RuO<sub>4</sub> Reveals Universal Fermi-Liquid Scaling and Quasiparticles Beyond Landau Theory

D. Stricker,<sup>1</sup> J. Mravlje,<sup>2</sup> C. Berthod,<sup>1</sup> R. Fittipaldi,<sup>3</sup> A. Vecchione,<sup>3</sup> A. Georges,<sup>4,5,1</sup> and D. van der Marel<sup>1</sup>

### **Spectral function**

- Renormalized Landau QP below ~0.1eV
- Broad strongly dispersing « resilient » QPs Deng et al. PRL'13
- Abrupt increase of dispersion at +0.1eV


## NRG study of LS coupling in impurity problem

$$H_{\rm imp} = \frac{1}{2} (U - 3J_{\rm H}) N_d (N_d - 1) - 2J_{\rm H} \mathbf{S}^2 - \frac{J_{\rm H}}{2} \mathbf{L}^2 + \lambda \sum_m \mathbf{l}_m \cdot \mathbf{s}_m$$

- ang. momentum **J=L+S**; L=1, S=1 :  $3^{rd}$  Hund's rule  $\rightarrow$  J=2,0
- $\lambda > 0 \rightarrow \text{small J}^2$  (d<sup>4</sup> -ruthenates),  $\lambda < 0 \rightarrow \text{large J}^2$  (d<sup>2</sup> -molybdates)

